

From: FINRA staff name <finra_staff_name@finrar-reporting.org>

Sent: Thursday, August 12, 2021 10:34 AM

To: recipient <recipient@firm_name.com>

Subject: Firm Name

Note: Other imposter FINRA domain names may appear here

FINRA: New Request

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File	ID	Date Requested	Requester
202108950000 [cdpn.io]	3099971 [cdpn.io]	08/12/2021	FINRA staff name

Dear recipient,

As a way of introduction, my name is *FINRA staff name* and I work in the area of The Financial Industry Regulatory Authority (FINRA) which is responsible for the regulation of your firm.

In line with a new directive released to all FINRA regulated firms, the following request(s) has been provided for your firm. Kindly follow the information in the letter and complete the request by yourself. Late submission may attract penalties.

Sincerely,

FINRA staff name

Senior Vice President - Member Supervision

Financial Industry Regulatory Authority (FINRA).
1735 K Street, NW
Washington, DC 20006
xxx-xxx-xxxx
